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United Technologies Corporation
and UBS Financial Services, Inc.

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

RICHARD A. GRISAFI,
Individually and on Behalf of
All Persons Similarly Situated,

Plaintiff,

v.

UNITED TECHNOLOGIES CORP., UBS
FINANCIAL SERVICES, INC., JOHN
DOES 1-20 and ABC CORPORATIONS
1-10,

Defendants.

: HONORABLE Claire C. Cecchi, U.S.D.J.
:
: Civil Action No. 2:13-cv-01800
: (CCC) (MF)
:

**CONSENT ORDER
REGARDING DISMISSAL OF
AMENDED COMPLAINT**

This matter having been opened to the Court by G. Martin Meyers, Esq., attorney for plaintiff, and Gregory C. Parlman, Esq., attorney for defendants, upon the parties joint application for the entry of this Consent Order resulting from the settlement of the claims of the plaintiff by means of a Court ordered mediation; and whereas following class certification discovery, counsel for plaintiff determined that there was no viable basis for the class allegations

asserted in the Amended Complaint; and whereas counsel for plaintiff and counsel for defendants jointly sought and obtained the approval of the Court to withdraw the class allegations; and good cause having been shown;

IT IS on the 2 day of ~~April~~, 2015, ORDERED as follows:

- (1) The class claims contained in the Amended Complaint are hereby withdrawn.
- (2) The individual claim of plaintiff Richard Grisafi is hereby dismissed with prejudice and without costs or attorneys' fees to any party (subject to the terms and conditions set forth in the parties' Settlement Agreement and General Release).
- (3) The withdrawal of the class claims and the dismissal of the Amended Complaint is hereby approved by the Court.




CLAIRE C. CECCHI, U.S.D.J.

We hereby consent to the form and entry of this Consent Order.

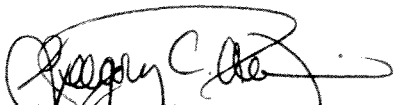
LAW OFFICES OF G. MARTIN MEYERS, P.C.
Attorneys for Plaintiff

DAY PITNEY LLP
Attorneys for Defendants

By:


G. Martin Meyers

By:


Gregory C. Parlman

Dated: March , 2015.

Dated: March 31, 2015.